

2021 SEC filings calendar



SEC filing deadlines for companies with December 31, 2020 fiscal year end

10-K for year ended December 31, 2020

March 1*	Large accelerated filers	60 days after fiscal year end
March 16*	Accelerated filers	75 days after fiscal year end
March 31	Non-accelerated filers	90 days after fiscal year end*
April 30	Definitive proxy statement (or information statement) if Part III of Form 10-K incorporates information from proxy by reference	120 days after fiscal year end

Form 20-F for year ended December 31, 2020

April 30	Foreign private issuers	4 months after fiscal year end
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10-Q for quarter ended March 31, 2021

May 10*	Large accelerated & accelerated filers	40 days after fiscal quarter end
May 17	Non-accelerated filers	45 days after fiscal quarter end

10-Q for quarter ended June 30, 2021

Aug 9*	Large accelerated & accelerated filers	40 days after fiscal quarter end
Aug 16	Non-accelerated filers	45 days after fiscal quarter end

10-Q for quarter ended September 30, 2021

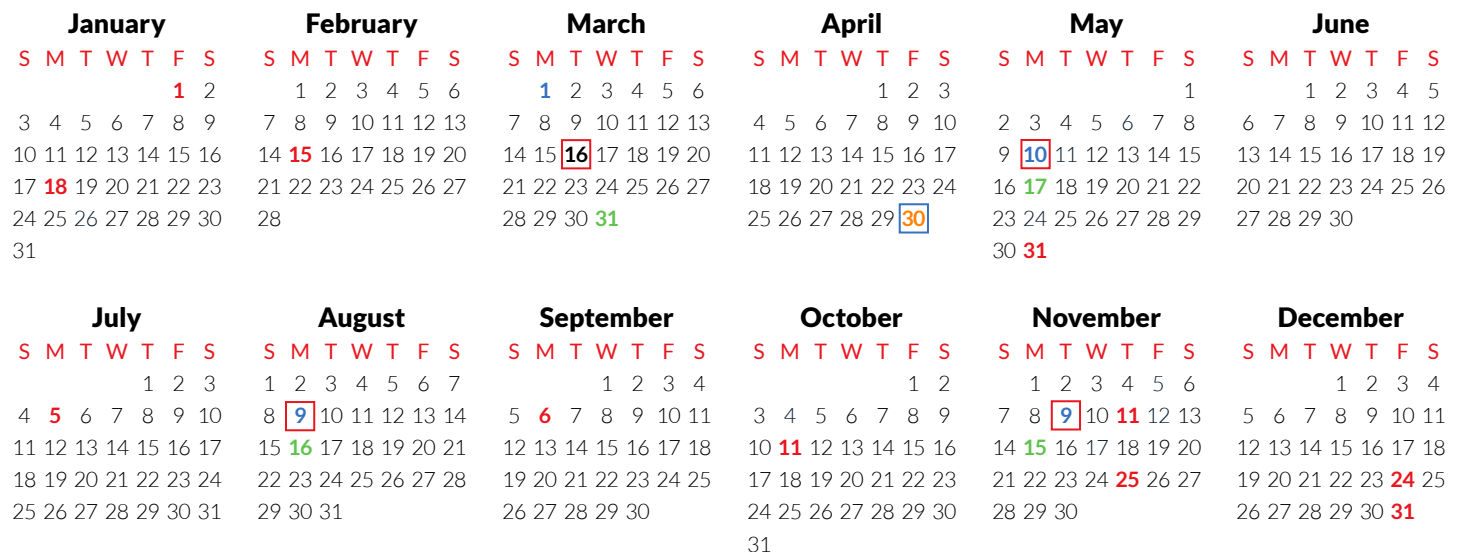
Nov 9	Large accelerated & accelerated filers	40 days after fiscal quarter end
Nov 15*	Non-accelerated filers	45 days after fiscal quarter end

* Reflects deadline in light of weekends and holidays. When the filing date falls on a weekend or holiday, the deadline is extended to the next business day. See Exchange Act Rule 0-3(a).

Other SEC filing deadlines

Form 3	Within 10 days of becoming an officer, director or beneficial owner of more than 10 percent of a class of equity registered under the Securities Exchange Act of 1934, as amended (Exchange Act); however, if the issuer is registering equity for the first time, then by the effective date of the applicable registration statement.
Form 4	2 business days after the transaction date
Form 5	45 days after fiscal year end
Schedule 13G	45 days after calendar year end
Schedule 13D	10 days after acquiring more than 5 percent beneficial ownership; amendments due promptly after material changes
Form 13F	45 days after calendar year end and after each of the first three quarter ends
Form 11-K	90 days after the plan's fiscal year end, provided that plans subject to ERISA may file the plan statements within 180 calendar days after the plan's fiscal year end

EDGAR filings may be made between 6:00 a.m. and 10:00 p.m. (ET) on weekdays (excluding holidays). Filings submitted after 5:30 p.m. receive the next business day's filing date (except Section 16 filings and Rule 462(b) registration statements, which receive the actual filing date).



- SEC closed
- Foreign private issuer 20-F due date
- Large accelerated filer due date
- Non-accelerated filer due date
- Proxy statement due date
- Accelerated filer due date